# Modelling of Alkaline electrolyser for hydrogen production using Simulink and artificial neural networks (ANN) for output prediction

Arsene Kalahamba Mahiya Other authors Prof Tumisang Seodigeng Dr John Kabuba Tshilenge Dr. André Joubert

University affiliation
TELKOM Centre of Excellence

#### **Abstract**

The broader adoption of green hydrogen remains limited by its lower efficiency and the sector's immaturity. Several studies have investigated parameters that impact the electrolysis process and developed methods to increase efficiency. Although these systems are relatively effective, further optimisations are achievable by implementing a data-driven approach for better process control and optimisation. Simulink is used in this study to present a comprehensive analysis of input parameters' effects on the yield of hydrogen and the electrolysis cell efficiency. Findings show that process parameters such as temperature, pressure, and current density significantly impact hydrogen production and the cell's efficiency. Furthermore, ANN (artificial neural network) was effectively used to predict process variables, demonstrating that AI (artificial intelligence) could be utilised as a predictive model for a water electrolysis process. These findings can be used as a stepping-stone to developing an advanced data-oriented optimisation system for an alkaline water electrolysis process.

Keywords: hydrogen, green, optimisation, efficiency, algorithms

#### 1. Introduction

The World Bank Progress Indicators have proven that practically every imaginable development aspect of life and society is strongly linked to energy usage (Berrada and Laasmi, 2021). Researchers have been looking for alternative sustainable energy generation methods due to growing concerns over the depletion of fossil fuels and climate change(Ismail et al., 2014). From this vantage point, green hydrogen is one of the most promising alternative energy sources. Hydrogen does not produce toxic gases during its production and combustion process, making it suitable for use in various applications that utilise fossil fuels(Mazloomi et al., 2012). There are several existing or in-development technologies for producing hydrogen from renewable energy sources like solar or wind. These methods include proton exchange water electrolysis (PEM), alkaline water electrolysis (AWE), and solid oxide water electrolysis (SOWE). The most advanced method is alkaline water electrolysis (AWE), which is commercially accessible for large-scale hydrogen production(Amores et al., 2017).

#### 1.1. Alkaline water electrolysis

The primary benefit of alkaline water electrolysis over other water electrolysis is that it can be constructed from readily available and affordable materials. Simple Nickel and steel can be used as electrodes to produce oxygen and hydrogen(Stolten, 2010). In a typical AWE, the electrodes are submerged in a strongly alkaline aqueous solution, usually made of 20–40 wt.%

concentrated potassium hydroxide. The anode and cathode are separated by a porous solid material (diaphragm) that allows the passage of (OH) ions between the electrodes to prevent mixtures that could result in safety risks and poor faradaic efficiencies. AWE can produce hydrogen gas of great purity (99.9% purity)(Millet and Grigoriev, 2013). On the weak point, Alkaline water electrolysers operate at a modest current density, are not particularly compact, and are not entirely suitable for use with transient power sources(Stolten, 2010). Thus, despite the technology's established maturity, it is still the subject of research and development. For instance, advanced electrocatalysts are being developed to reduce electrode overvoltage. Gap-zero configurations are also exploited for higher current density operation and reduction of ohmic losses(Sanchez et al., 2020). The design and improvement of electrolysis systems can also benefit from modelling and process simulation. Process simulation can help gain insight into the system's performance without the trouble of running experiments(Fragiacomo and Genovese, 2019).

## 1.2. Objectives

This research aims to use artificial intelligence as a cutting-edge technique to optimise process efficiency. However, this paper focuses on Simulink process modelling of the AWE and analysis of the effects of process variables on the electrolysis performance. Mathematical descriptions are used to model the alkaline water electrolyser, and the performance of the process is examined in relation to variables such as current density, temperature, and pressure. Artificial Neural Network is used to prove the effectiveness of AI for prediction and optimisation.

# 2. Model conception

Following the study's purpose, only the electrolyser stack is modelled. Simulink is utilised as the modelling software due to its ability to implement visual tools for computational simulations. Simulink provides an extensive library of algorithms and custom function blocks necessary to incorporate mathematical models to build and analyse the process performance. Although Simulink includes an electrolysis component, the latter is rather elementary. It would not allow for a systematic study of the electrolyser's performance. Therefore, a custom electrolyser model has been developed, considering all parameters necessary to satisfy the project's purpose.

The electrolyser model is able to accurately calculate cell voltage(E), current density(I), energy efficiency, faraday efficiency( $\eta$ ), over-voltages and hydrogen yield at various operating conditions. With the ability to operate over a broad range, this model is a valuable tool for studying the effect of parameters such as pressure(p), temperature(T), and current density(I) on the performance of the electrolyser. The suggested model also serves as an effective method to systematically optimise the efficiency of the electrolysis process. The added ANN (artificial neural network) experiment shows that AI can be used to further optimise the process.

### 2.1. Simulink model

As aforementioned, the Simulink model of the alkaline electrolyser is developed to investigate correlations between the process variables and the electrolyser performance in order to improve efficiency.

Cell/stack voltage (E), Faraday efficiency, cell efficiency, polarisation curve, and H2 produced are determined using Electrochemical, thermodynamic and empirical equations for a wide operating range of input variables (power(w), temperature (T), pressure (p), number of cells(N), active area(A)). see figure 1.

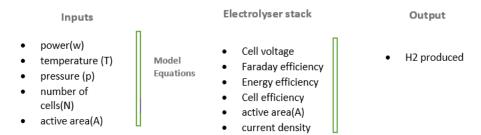


Figure 1: AWS Simulink model operation flow

# 3. Research methodology

In Alkaline water electrolysis, water molecules' separation into gaseous oxygen and hydrogen happens when an electrical current (DC) is passed between two electrodes separated by an aqueous electrolyte with strong ionic conductivity (typically KOH ranging from 20 to 40% by weight)(Rashid et al., 2015). The electrolysis process is defined by the dynamics of reactions, thermodynamics, and various transport processes occurring at the electrodes(Santos et al., 2013). Alkaline water electrolysis occurs according to the following reactions:

Anode 
$$4OH^{-}(I) \rightarrow 0_2 + + 2H_20 + 4e \text{ requires } 0.4V \quad E^0 = -0.4V$$
Cathode  $2H_20(I) + 2e \rightarrow H_2 + 2OH^{-} \text{ requires } 0.82V \quad E^0 = -0.83V$ 
Net  $2H_20(I) \rightarrow 2H_2 + 0_2 \text{ requires } 1.24V \quad E^0 = -1.23V \quad (Eq.1)$ 

1.23V is the minimum voltage required for the reaction to take place. It is known as reversible voltage ( $E_{rev}$ ), measured at standard conditions (1 bar and 25 °C). It can be obtained from the first law of thermodynamics, which states that the amount of electricity (nFE) needed to split a mole of water at equilibrium is equal to the Gibbs free energy change ( $\Delta G^0$ ) of the reaction(Ursua et al., 2011).

$$\Delta G^0 = nFE$$
  
 $E = \Delta G^0/nF$  (Eq.2)

Where F is the faraday coefficient, and n=2 is the number of electrons transferred the Gibbs free energy can be calculated from the following equation

$$\Delta H (T, 1) = \Delta G (T, 1) + T \cdot \Delta S (T, 1)$$
 (Eq.3)

 $\Delta H(T,1)$ ,  $\Delta Sd$  (T,1) are, respectively, the enthalpy change, the entropy change) at T (25  $^{\circ}C$ ) and P (1 bar)

The reversible voltage ( $E_{rev}$ ) can also be calculated using empirical equations under different temperatures and pressure than standard conditions. Empirical equations presented by (Stolten, 2010) are used to calculate  $E_{rev}$  as a function of temperature (Eq.4), and (Eq.5) is used to account for both temperature and pressure.

$$E_{rev}(T) = 2 F \Delta G(T) = 1.5184 - 1.5421 \times 10^{-3} * T + 9.523 \times 10^{-5} * T * Ln(T) + 9.84 \times 10^{-8} T^{2}$$
 (Eq.4)

When taking into account the cell pressure, Erev can be calculated as follow:

$$\mathsf{E}_{\mathsf{rev}}\left(\mathsf{T},\,\mathsf{P}\right) = Erev(T) + \frac{3RT}{4F}\ln(P) \qquad \mathsf{(Eq.5)}$$

However, due to energy losses, the actual cell voltage (E) is always larger than the theoretical one. The actual cell voltage (E) is the sum of reversible voltage ( $E_{rev}$ ) and overpotentials ( $\acute{\eta}$ ) (Amores et al., 2017) (see Eq.6).

$$E_{cell} = E_{rev} + (\dot{\eta}at + \dot{\eta}ohm + \dot{\eta}conc)$$
 (Eq.6)

Thus, energy efficiency  $\eta E = Erev/E_{cell}$  (Eq.7)

ή (overpotential) is the sum of activation, ohmic and concentration overpotentials caused by:

Activation overpotentials: associated with the activation energies for the generation of hydrogen and oxygen on the surface of electrodes.

Ohmic overpotential: refers to the sum of transport resistance due to gas bubbles, ionic transfer in the electrolyte, and membrane resistivity, together with the electrical resistance of various components, such as electrodes and current collectors.

Concentration overpotentials: caused by mass transport restrictions that arise at high currents on the surface of the electrodes(Amores et al., 2017).

The overpotentials are represented on the polarisation curve in figure 2. The polarisation curve is used to establish the electrolysis kinetics reactions. It allows for determining the ideal cell voltage and current density(Ursua et al., 2011).

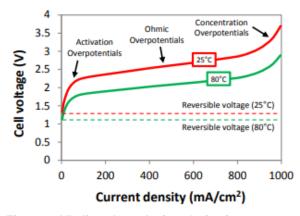


Figure 2:Alkaline electrolysis polarisation curve at 25 and 80 °C

A semi-empirical approach (Eq. 8) introduced by (Ulleberg, 2003) and further modified by (Sanchez et al., 2020) has been used to calculate the cell overpotential ( $E_{cell}$ ) for the model. The equation relates temperature(T), pressure(p) and current density(i) to the polarisation curve. concentration overpotential is omitted from the equation as it only occurs at high current density, passed the operating range of the model.

$$\mathsf{E}_{\mathsf{cell}} = Erev + \left[ (r1 + d1) + r2.T + d2.p \right] + S.\log\left[ \left( t1 + \frac{t2}{T} + \frac{T3}{T2} \right) i + 1 \right] \quad (\mathsf{Eq. 8})$$

Another critical parameter for evaluating AWE performance is the faraday efficiency (Eq. 9). It represents the ratio of the experimental hydrogen gas produced to the theoretical value. It refers to the amount of current transformed in the reaction and the energy lost due to losses(Santos et al., 2013).

$$\eta F = \frac{\text{nH2 prod}}{\text{nH2th}}$$
 (Eq. 9)

Much like the polarisation curve, Faraday's efficiency has also been modelled using the empirical equation proposed by (Ulleberg, 2003).

$$\eta F = \left(\frac{i2}{f_{11} + f_{12}.T + I_2}\right). (f_{21} + f_{22}.T)$$
 (Eq.10)

There is a direct correlation between the flow of electrons (current) and the production rate of hydrogen (Faraday's Law). The electrochemical behaviour of the cells influences the rate of hydrogen generation at the cathode. Hydrogen production at the cathode was computed using a derived equation of the Faraday efficiency

$$nH2prod = \eta F \frac{I}{z.F}.N$$
 (Eq.11)

The electric input power is determined using ohm's law

$$W_{\text{stack}} = E_{\text{stack}}.I = (E_{\text{cell}}.N).$$
 (i. A) (Eq.12)

With A the cell area, I the current, N the quantity of cells in the stack and i the current density i = I/A

The overall cell efficiency is calculated using the faraday efficiency (Eq.10) and energy efficiency (Eq.7)

Efficiency cell (%) =  $\eta F. \eta E$  x100 (Eq.13)

Figure 3 shows the electrolyser model developed using Simulink.

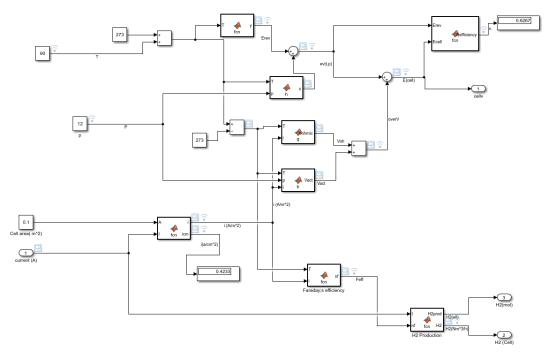


Figure 3: alkaline cell electrolysis Simulink simulation

# ANN (artificial neural network) predictive model

One of the most used AI modelling techniques in chemical engineering is ANN (Dutta and Upreti, 2021). Figure 4 shows the setup used for configuration, which consists of a three-layer system with three neuron inputs (temperature (T), power (P), and pressure (P), a hidden layer with ten nodes, and six output layers (cell efficiency, faraday efficiency, hydrogen yield, energy efficiency, current density, and cell voltage). BP-ANN trains the algorithm using a first-order gradient descent technic(Maier et al., 2000). The Marquardt-Levenberg BP learning approach was selected as the back-propagation method(Banza and Rutto). The log-sigmoid transfer function for all data sets in ANN (log sig) was employed in the hidden layers(Senthil Kumar et al., 2012). ANN is used to demonstrate that the process can be modelled and optimised using AI

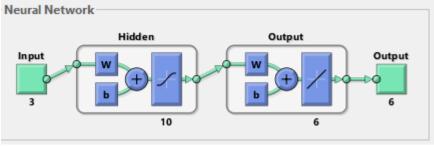


Figure 4:ANN architecture

#### 4. Results and Discussion

The model is developed using Simulink to study the effects of pressure, temperature, and current density on cell performance. The insight gained will serve as a base to further optimise the process using artificial intelligence.

# 4.1. Influence of temperature and current density on the polarisation curve

In Figure 5, Input Power (KW) is plotted against the current density. It is observed that a rise in input power increases the current density, which can be explained by (Eq.12), where current (I) and current density (i=I/A) are proportional to the input power. Therefore, a power increase results in a higher current density. Figure 6 shows the model polarisation curve with the effects of temperature on the cell voltage (Ecell). Higher current density causes Cell voltage to increase due to overvoltages (Eq. 8). However, cell voltage decreases as the temperature rises due to the drop in the reversible voltage (Eq.4) at higher operating temperature

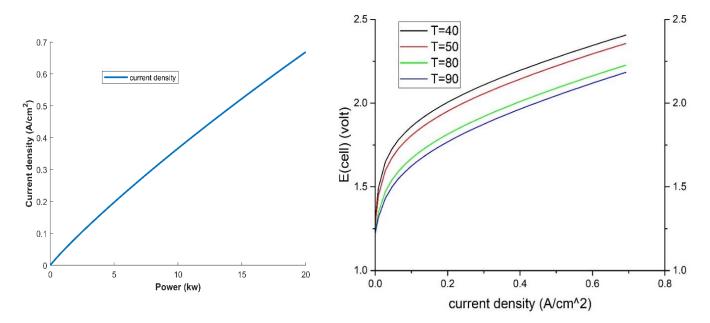


Figure 5: Effect of power on current density

Figure 6: Effect of temperature and current density on cell potential

# 4.2. Influence of temperature and current density on efficiency and hydrogen yield

Figures 7 and 8, respectively, show the effects of current density and temperature on hydrogen flow rate and cell efficiency. Faraday's 1st law of electrolysis states that the quantity of hydrogen produced is directly proportional to the input current, which is seen in figure 8 as Hydrogen production increases with the rise in current density. On the other hand, cell efficiency is related to energy and faraday efficiency (Eq.13). At higher current density, more energy loss occurs due to overvoltages leading to lower efficiency. Higher temperature increases efficiency since the cell voltage decreases with rising temperature. Hydrogen yield decreases slightly at higher temperatures due to the drop in the faraday efficiency (Eq.11).

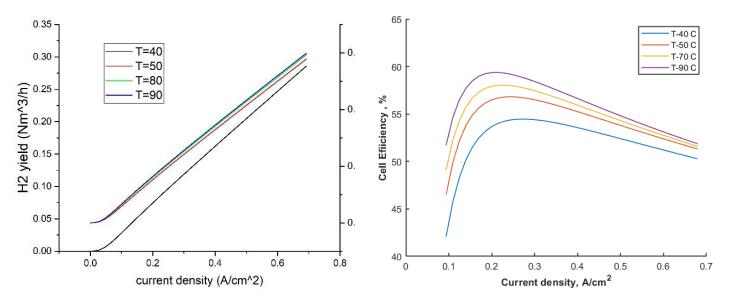


Figure 7: Effect of temperature and current on H2 yield

Figure 8: Effect of temperature and current on cell efficiency

# 4.3. Temperature and pressure optimisation

Figure 9 shows the effects of temperature and pressure on cell efficiency. Identified as essential parameters for electrolyser optimisation (Lubitz and Tumas, 2007), pressure and temperature could be used to optimise the process when input power is lower than the desired value. The figure shows that when the current density is lower, cell efficiency can be kept at the desired value by lowering the pressure and increasing the temperature

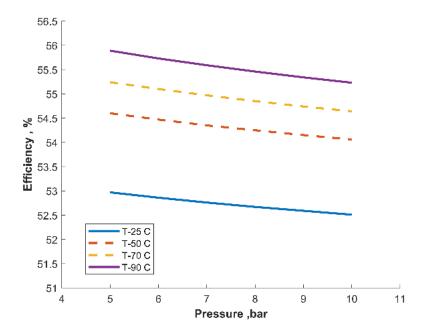


Figure 9: effect of pressure and temperature on efficiency

# 4.4. ANN model and prediction

The interaction between the artificial neural network and supplied data (input and output parameters) is shown in Figure 10. The correlation coefficient for training, testing, validation and all data is 1, which entails that the ANN predictive model performs with nearly perfect accuracy

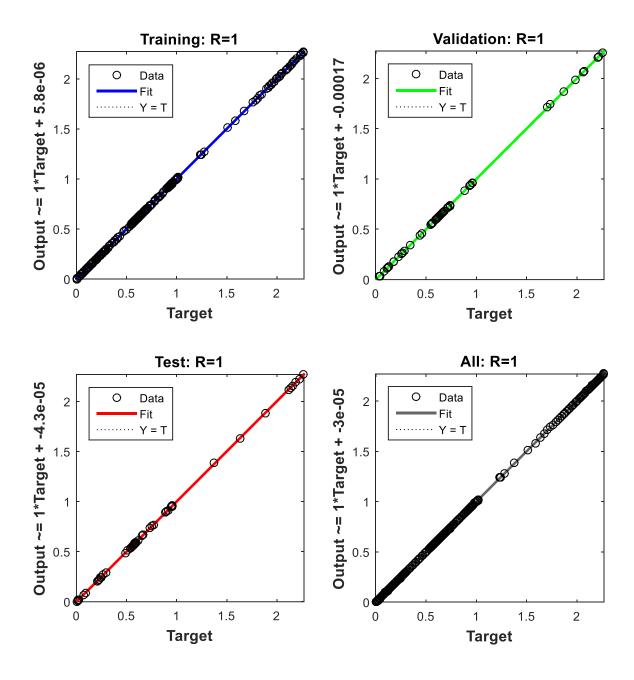


Figure 10: neural network regression analysis

## 5. Conclusion and recommendations

This paper proposes a Simulink model of an alkaline electrolyser with the objective of studying the influence of the process parameters such as pressure, temperature and current density on the electrolyser performance.

The developed electrolyser model is able to generate a polarisation curve, faraday efficiency, cell efficiency and hydrogen yield at various temperatures and pressures.

Analysis of the model shows that at higher current density, the efficiency of the electrolyser decreases due to overvoltages. However, hydrogen yield increases since it is proportional to the cell current. Temperature, on the other hand, can improve cell efficiency by reducing cell voltage. Currently, cell temperature of alkaline electrolysers is restricted to a maximum of (100°C-130°C) due to components corrosion caused by high-temperature alkaline medium.

As for pressure, it has been observed that lower pressure (5-10 bar) has a negligible effect on the hydrogen yield. However, cell efficiency decreases with increased pressure as cell voltage rises. The advantage of operating the electrolyser at higher pressure is that it takes less energy to compress the hydrogen produced for storage.

For process optimisation, finding a proper equilibrium between cell efficiency and hydrogen yield while considering the constraints related to high temperature, pressure, and current density should allow for better optimisation of the electrolyser. At reduced input power, the efficiency of the electrolyser can still be maintained by lowing the pressure and increasing the temperature.

The implementation of ANN (artificial neural network) successfully validates the use of AI as a predictive model. ANN has been trained with the Levenberg BP algorithm and was able to make data predictions with very high accuracy(R=1)

The knowledge gained from this work can further can be used to build a data-driven algorithm for improved process control and optimisation.

## References

- AMORES, E., RODRÍGUEZ, J., OVIEDO, J. & DE LUCAS-CONSUEGRA, A. 2017.

  Development of an operation strategy for hydrogen production using solar PV energy based on fluid dynamic aspects. *Open Engineering*, 7, 141-152.
- BANZA, M. & RUTTO, H. Modeling of Adsorption of Nickel (Ii) By Blend Hydrogels (Cellulose Nanocrystals and Corm Starch) From Aqueous Solution Using Adaptive Neuro-Fuzzy Inference Systems (Anfis) and Artificial Neural Networks (Ann). *The Canadian Journal of Chemical Engineering*.
- BERRADA, A. & LAASMI, M. A. 2021. Technical-economic and socio-political assessment of hydrogen production from solar energy. *Journal of Energy Storage*, 44, 103448.
- DUTTA, D. & UPRETI, S. R. 2021. Artificial intelligence-based process control in chemical, biochemical, and biomedical engineering. *The Canadian Journal of Chemical Engineering*, 99, 2467-2504.
- FRAGIACOMO, P. & GENOVESE, M. 2019. Modeling and energy demand analysis of a scalable green hydrogen production system. *International Journal of Hydrogen Energy*, 44, 30237-30255.
- ISMAIL, A. A., BAHNEMANN, D. W. & CELLS, S. 2014. Photochemical splitting of water for hydrogen production by photocatalysis: A review. *Solar Energy Materials*, 128, 85-101.

- LUBITZ, W. & TUMAS, W. 2007. Hydrogen: an overview. *%J Chemical reviews*, 107, 3900-3903.
- MAIER, H. R., DANDY, G. C. & SOFTWARE 2000. Neural networks for the prediction and forecasting of water resources variables: a review of modelling issues and applications. *Environmental modelling*, 15, 101-124.
- MAZLOOMI, K., GOMES, C. J. R. & REVIEWS, S. E. 2012. Hydrogen as an energy carrier: Prospects and challenges. 16, 3024-3033.
- MILLET, P. & GRIGORIEV, S. 2013. Water electrolysis technologies. *Renewable Hydrogen Technologies: Production, Purification, Storage, Applications*, 19-41.
- RASHID, M., AL MESFER, M. K., NASEEM, H. & DANISH, M. 2015. Hydrogen production by water electrolysis: a review of alkaline water electrolysis, PEM water electrolysis and high temperature water electrolysis. *International Journal of Engineering*

## Advanced Technology.

- SANCHEZ, M., AMORES, E., ABAD, D., RODRIGUEZ, L. & CLEMENTE-JUL, C. 2020. Aspen Plus model of an alkaline electrolysis system for hydrogen production. *International Journal of Hydrogen Energy,* 45, 3916-3929.
- SANTOS, D. M., SEQUEIRA, C. A. & FIGUEIREDO, J. L. 2013. Hydrogen production by alkaline water electrolysis. *Química Nova*, 36, 1176-1193.
- SENTHIL KUMAR, A., OJHA, C., GOYAL, M. K., SINGH, R. & SWAMEE, P. 2012. Modeling of suspended sediment concentration at Kasol in India using ANN, fuzzy logic, and decision tree algorithms. *Journal of Hydrologic Engineering*, 17, 394-404.
- STOLTEN, D. 2010. *Hydrogen and fuel cells: fundamentals, technologies and applications*, John Wiley & Sons.
- ULLEBERG 2003. Modeling of advanced alkaline electrolyzers: a system simulation approach. *International journal of hydrogen energy,* 28, 21-33.
- URSUA, A., GANDIA, L. M. & SANCHIS, P. 2011. Hydrogen production from water electrolysis: current status and future trends. *Proceedings of the IEEE*, 100, 410-426.